

DORMANT ACCOUNT ACTIVATION REQUEST

Dear Sir / Madam,

Date: _____

This is in context to my/our equity trading account no. _____ maintained With Foundation Securities (Private) Limited. I/We hereby request to activate the above mentioned trading account, which has/have become inactive due to the reason(s) mentioned below.

Reason of inactivity (Client Remarks): _____

Name & Signature
Main Applicant

Name & Signature
Joint Applicant-1

Name & Signature
Joint Applicant-2

Name & Signature
Joint Applicant-3

For Office Use only

CSR/Trader Checks

- | | |
|---|--|
| <input type="checkbox"/> Complete Know Your Customer (KYC) Form | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Proof of income/funds (As per prescribed documents) | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Attested copy of valid CNIC/NICOP/ Passport | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Attested Passport & Entry Stamp (For Non-Resident Customers) | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Biometric / OTP verification (if already not performed) | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Customer Relation Form (CRF) / FATCA / CRS / Undertaking of PEPs | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| <input type="checkbox"/> Customer Risk Profiling (CRP) / CDD Analysis | Yes <input type="checkbox"/> No <input type="checkbox"/> |

The above mentioned information/document are required, if already not available or outdated.

Request verification (By CSR/Trader)

Form is filled completely All documents listed above are obtained as applicable

Request received via Courier Request received via Email Customer has visited in-person

Name _____ Signature _____ Date _____

Compliance Department

KYC acceptable NADRA Verisys (If applicable) Risk Rating **Approved** **Rejected**

Remarks (If any) : _____

Name _____ Signature _____ Date _____

Operation Department

Signature(s) /Email Verified Yes No All document place in AOF Folder

Account holder's profile is updated in all system

Performed by: Name _____ Signature _____ Date _____

Verified by: Name _____ Signature _____ Date _____